

## 9TH PDS ANNUAL AWARDS FACT SHEET

Since 2006, the PDS Annual Awards has been recognizing members of its community and market stakeholders that exhibit outstanding performance, leadership, innovation, and continued pursuit in contributing to a robust domestic capital market. The Awards presented on 27 February 2014 are as follows:

Foreign Exchange Category	Top Inter-Dealer Broker Spot Foreign Exchange			The Inter-Dealer Broker that has closed the largest spot foreign exchange trading volume for the year as measured by the total spot USD PHP volume for the year as measured by the total USD PHP volume turnover by USD amount.	
	Top 5 Spot Foreign Exchange Dealers			Foreign Exchange Dealer Banks that have generated the most trading volume for the year as measured by the total USD/PHP volume turnover transacted by USD amount.	
Depository, Settlement and Custody Category	Top 5 Depository Brokering Participants			Depository Participants that have generated the highest volume for the year as measured by the total market value of depository holdings.	
	Top Custody House			Custody Participant that has generated the highest volume for the year as measured by the total market value of custodian holdings for both equities and fixed-income securities.	
	Top 5 PDDTS/PvP Participants			PDDTS/PvP Banks that have generated the highest volume for the year as measured by the total number of transactions made.	
	Top Fixed-Income Cash Settlement Bank			Cash Settlement Bank that has generated the highest volume for the year as measured by the total number of fixed-income transactions settled for PDEX Trading Participants who are non-DDA holders at the Central Bank.	
Fixed-Income Category	Corporate Securities	Top Brokering Participant		The Brokering Participant that has generated the most trading volume for the year as measured by the total volume turnover of corporate securities transacted by face amount.	
		Top Dealing Participant		The Dealing Participant that has generated the most trading volume for the year as measured by the total volume turnover of corporate securities transacted by face amount.	
		Issuer Awards	Top Traded Corporate Bond Issue of the Year		The award is given to the Corporate Issue that was the most actively traded in terms of trading volume.
			Citation For The First Listed 20-Year Bond Issue		This citation is given to the first corporate bond listed on PDEX with a 20-year tenor, the longest tenor to-date for a listed corporate issue.
			Citation For The First Bond Issue With Both a Put and Call Option		This citation is given to the first corporate bond listed on PDEX with both a put and call option.
			Top 5 Corporate Issue Managers/Arrangers		Issue Managers or Arrangers in the corporate fixed-income securities market that were the most active for the year as measured by the number of listed corporate securities where they have acted as issue manager, lead manager or lead arranger.
			Top 3 Corporate Securities Market Makers		Dealing Participants that have committed to quote prices daily for listed corporate bonds as measured by the number of corporate security market making commitments.
	Government and Corporate Securities	Top Institutional Broker Fixed-Income Securities		The Institutional Broker that has closed the most fixed-income trading volume for the year as measured by the total volume turnover of fixed-income securities transacted by face amount.	
		Top 5 Fixed-Income Brokering Participants		Brokering Participants that have generated the most trading volume for the year as measured by the total volume turnover of securities transacted by face amount.	
		Top Brokering Participant Retail Transactions		The Institutional Broker that has closed the most fixed-income trading volume for the year as measured by the total volume turnover of government securities transacted by face amount.	
		Citation for Best Execution through Active Use of Fixed-Income Broker Internet Order System (FI-BIOS)		The Best Execution Citation is given to the Brokering Participant, through its use of the Fixed-Income Broker Internet Order System (FI-BIOS), has served investors, especially those located in provincial areas, immediate execution of orders and access to real-time market data.	
		Top 5 Fixed-Income Dealing Participants		Dealing Participants that have generated the most trading volume for the year as measured by the total volume turnover of securities transacted by face amount.	
	Best Securities House	Cesar E.A. Virata Award	Bank Category	The award is given to a bank Trading Participant that has an exceptional performance in trading, distribution and settlement activities for the year.	
			Investment House Category	The award is given to an investment house Trading Participant that has an exceptional performance in trading and distribution for the year, and in the origination, underwriting, and market making of outstanding listed corporate securities.	